

**University of Iowa Option 2 Sponsored Research Agreement  
Questionnaire and Certification of IP Rights**

This form is for internal use only and should not be forwarded to the sponsor.

The University of Iowa (UI) has developed a research agreement that grants an intellectual property (IP) option to sponsors for a fee upon signing of the sponsored research agreement. This option is available only to industry sponsors when the following criteria apply:

- Project costs include F&A at the UI’s full federally negotiated F&A research rate;
- The project is not a clinical trial;
- The project is not fee for service;
- The sponsor agrees to the terms of the UI’s Option 2 Sponsored Research Agreement without negotiation of IP terms;
- The project is not funded by federal, state or local government; non-profit organizations; or other non-commercial sponsors; and
- The project does not require restricted use of third-party materials.

**The UI will grant the sponsor an exclusive option to an exclusive license, with predetermined terms, to UI IP developed under the agreement.** The UI will retain the right to use any such IP for research, instruction and academic publication purposes.

**Information Related to this Project**

PI Name:

Sponsor Name:

DSP Seq. #: .....

Project Title:

**Please answer each question:**

Yes      No      Does the project require the use of any UI background IP (i.e., working on previous disclosures or patents)?

*For DSP use: If yes, DSP confers with UIRF and may need to notify the company. This does not preclude using Option 2. If no, Option 2 is still available.*

Yes      No      Does this project involve funding from the Federal or state government (this can be either direct funding or passed through another organization as in a subcontract), a non-profit organization, or any other type of non-commercial sponsor?

*For DSP use: If yes, this precludes using Option 2. If no, Option 2 is still available.*

Yes      No      Do you have to use any materials from a party other than the sponsor as part of this research project?

*For DSP use: If yes, ask the PI what materials and from whom. This requires further discussion with UIRF. If no, Option 2 is still available.*

Yes      No      Does the scope of work for this project overlap with the scope of work of any other completed, current or pending sponsored project?

*For DSP use: If yes, ask the PI who paid for the overlapping work. This requires further discussion with UIRF. If no, Option 2 is still available.*

Yes      No      Is this project an improvement or continuation of another project or scope of work in your lab?

*For DSP use: If yes, this requires further discussion with UIRF. If no, Option 2 is still available.*

Note: All UI employees are required to file an invention disclosure with the UIRF on any inventions or software generated by this research.

**University of Iowa Option 2 Sponsored Research Agreement  
Certification**

As Principal Investigator of the above named project, I assert that the intellectual property rights in the UI Option 2 Sponsored Research Agreement are acceptable to me for this project. I agree the criteria listed above apply to this project. I acknowledge the terms are a variation on the University's usual contracting practice and may impact the potential future revenues from any new intellectual property developed under this agreement. I acknowledge that I assume full responsibility for informing other project personnel who may participate in this project that it involves a deviation from the UI's usual intellectual property contracting practices and may result in lower licensing income. In addition, I agree and accept the distribution of the upfront fee as described below:

Distribution policy for Option 2 Fee:

- 25% to PI's lab
- 15% to PI's College
- 25% to UIRF
- 15% to PI's Department
- 20% to OVPR
- Any royalties received as part of 1% of net sales shall be distributed as per UI Intellectual Property Policy

By my signature below I request that the University accept these concessions.

Principal Investigator Name:

Signature:

Date:

\_\_\_\_\_

Please print this form and forward it on for signatures from the PI's Departmental Executive Officer and Dean.

As Departmental Executive Officer and Dean, we concur with the statements above and also certify that we agree with the applicability of the proposed intellectual property terms for this project. Furthermore, we understand that the terms of the agreement may impact the potential future revenues from any new intellectual property for the department or college under the UI Intellectual Property Policy. We acknowledge this involves a deviation from the UI's usual intellectual property contracting practices and may result in lower licensing income. In addition, we agree and accept the distribution of the upfront fee as described below:

Distribution policy for Option 2 Fee:

- 25% to PI's lab
- 15% to PI's College
- 25% to UIRF
- 15% to PI's Department
- 20% to OVPR
- Any royalties received as part of 1% of net sales shall be distributed as per UI Intellectual Property Policy

By our signatures below we request that the University accept the concessions referenced above.

Departmental Executive Officer Name:

Signature:

Date:

\_\_\_\_\_

Dean Name:

Signature:

Date:

\_\_\_\_\_

Once all three required signatures have been obtained, please scan and email this form to the reviewer named at the top of Page 1 or to dsp-contracts@uiowa.edu. It may also be campus mailed or delivered to Room 2, Gilmore Hall.